



COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/21 (6-98)

†

#13

Please type a plus sign (+) inside this box → ☐

Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/036,601
	Filing Date	12/31/2001
	First Named Inventor	leon Chen
	Group Art Unit	3661
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	EQUUS-063A

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Charge Any Additional Fee Required, to Deposit Account No. 19-4330 <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69 and Accompanying Petition) <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (<i>please identify below</i>): <i>Certificate under 37 C.F.R. § 3.73(b)</i>
REMARKS:		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name	Stetina Brunda Garred & Brucker
Signature	
Date	March 14 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: March 14 2002			
Typed or printed name	Colleen Tyler		
Signature		Date	March 14 2002

† SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

OFFICE OF THE PATENT & TRADEMARK COMMISSION COMPLETE TRANSMITTAL for FY 2002 Patent fees are subject to annual revision.		Complete if Known	
		Application Number	10/036,601
		Filing Date	12/31/2001
		First Named Inventor	leon Chen
		Examiner Name	Unknown
TOTAL AMOUNT OF PAYMENT		(\$)	105.00
		Group Art Unit	3661
		Attorney Docket No.	EQUUS-063A

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																									
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number <u>19-4330</u> Deposit Account Name <u>Stetina Brunda</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>65.00</td></tr> <tr><td>127 50</td><td>227 25</td><td>Surcharge -late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td></td></tr> <tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for ex parte reexamination</td><td></td></tr> <tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116 400</td><td>216 200</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117 920</td><td>217 460</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118 1,440</td><td>218 720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128 1,960</td><td>228 980</td><td>Extension for reply within fifty month</td><td></td></tr> <tr><td>119 310</td><td>219 155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120 310</td><td>220 155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121 270</td><td>221 135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140 110</td><td>240 55</td><td>Petition to revive-unavoidable</td><td></td></tr> <tr><td>141 1,240</td><td>241 620</td><td>Petition to revive-unintentional</td><td></td></tr> <tr><td>142 1,240</td><td>242 620</td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143 440</td><td>243 220</td><td>Design issue fee</td><td></td></tr> <tr><td>144 600</td><td>244 300</td><td>Plant issue fee</td><td></td></tr> <tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr> <tr><td>126 180</td><td>126 180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr><td>581 40</td><td>5 81 40</td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr> <tr><td>146 710</td><td>246 355</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149 710</td><td>249 355</td><td>For each additional invention to be examined</td><td></td></tr> <tr><td>179 710</td><td>279 355</td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169 900</td><td>169 900</td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4">Other fee (specify) _____</td></tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	65.00	127 50	227 25	Surcharge -late provisional filing fee or cover sheet		139 130	139 130	Non-English specification		147 2,520	147 2,520	For filing a request for ex parte reexamination		112 920*	112 920*	Requesting publication of SIR prior to Examiner action		113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action		115 110	215 55	Extension for reply within first month		116 400	216 200	Extension for reply within second month		117 920	217 460	Extension for reply within third month		118 1,440	218 720	Extension for reply within fourth month		128 1,960	228 980	Extension for reply within fifty month		119 310	219 155	Notice of Appeal		120 310	220 155	Filing a brief in support of an appeal		121 270	221 135	Request for oral hearing		138 1,510	138 1,510	Petition to institute a public use proceeding		140 110	240 55	Petition to revive-unavoidable		141 1,240	241 620	Petition to revive-unintentional		142 1,240	242 620	Utility issue fee (or reissue)		143 440	243 220	Design issue fee		144 600	244 300	Plant issue fee		122 130	122 130	Petitions to the Commissioner		123 50	123 50	Petitions related to provisional applications		126 180	126 180	Submission of Information Disclosure Statement		581 40	5 81 40	Recording each patent assignment per property (times number of properties)	40.00	146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))		149 710	249 355	For each additional invention to be examined		179 710	279 355	Request for Continued Examination (RCE)		169 900	169 900	Request for expedited examination of a design application		Other fee (specify) _____			
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																								
105 130	205 65	Surcharge - late filing fee or oath	65.00																																																																																																																								
127 50	227 25	Surcharge -late provisional filing fee or cover sheet																																																																																																																									
139 130	139 130	Non-English specification																																																																																																																									
147 2,520	147 2,520	For filing a request for ex parte reexamination																																																																																																																									
112 920*	112 920*	Requesting publication of SIR prior to Examiner action																																																																																																																									
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action																																																																																																																									
115 110	215 55	Extension for reply within first month																																																																																																																									
116 400	216 200	Extension for reply within second month																																																																																																																									
117 920	217 460	Extension for reply within third month																																																																																																																									
118 1,440	218 720	Extension for reply within fourth month																																																																																																																									
128 1,960	228 980	Extension for reply within fifty month																																																																																																																									
119 310	219 155	Notice of Appeal																																																																																																																									
120 310	220 155	Filing a brief in support of an appeal																																																																																																																									
121 270	221 135	Request for oral hearing																																																																																																																									
138 1,510	138 1,510	Petition to institute a public use proceeding																																																																																																																									
140 110	240 55	Petition to revive-unavoidable																																																																																																																									
141 1,240	241 620	Petition to revive-unintentional																																																																																																																									
142 1,240	242 620	Utility issue fee (or reissue)																																																																																																																									
143 440	243 220	Design issue fee																																																																																																																									
144 600	244 300	Plant issue fee																																																																																																																									
122 130	122 130	Petitions to the Commissioner																																																																																																																									
123 50	123 50	Petitions related to provisional applications																																																																																																																									
126 180	126 180	Submission of Information Disclosure Statement																																																																																																																									
581 40	5 81 40	Recording each patent assignment per property (times number of properties)	40.00																																																																																																																								
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																									
149 710	249 355	For each additional invention to be examined																																																																																																																									
179 710	279 355	Request for Continued Examination (RCE)																																																																																																																									
169 900	169 900	Request for expedited examination of a design application																																																																																																																									
Other fee (specify) _____																																																																																																																											
2. EXTRA CLAIM FEES <table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>101 740</td><td>201 370</td><td>Utility Filing fee</td><td></td></tr> <tr><td>106 330</td><td>206 165</td><td>Design Filing fee</td><td></td></tr> <tr><td>107 510</td><td>207 255</td><td>Plant Filing fee</td><td></td></tr> <tr><td>108 740</td><td>208 370</td><td>Reissue Filing fee</td><td></td></tr> <tr><td>114 160</td><td>214 85</td><td>Provisional Filing fee</td><td></td></tr> <tr><td colspan="3">SUBTOTAL (1)</td><td>\$ 0</td></tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 740	201 370	Utility Filing fee		106 330	206 165	Design Filing fee		107 510	207 255	Plant Filing fee		108 740	208 370	Reissue Filing fee		114 160	214 85	Provisional Filing fee		SUBTOTAL (1)			\$ 0	<table border="1"> <thead> <tr> <th>Extra Claims</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total Claims _____ - 20** = _____</td> <td>X _____ = _____</td> <td></td> </tr> <tr> <td>Independent Claims _____ - 3 = _____</td> <td>X _____ = _____</td> <td></td> </tr> <tr> <td>Multiple Dependent _____</td> <td>_____ = _____</td> <td></td> </tr> </tbody> </table>		Extra Claims	Fee from below	Fee Paid	Total Claims _____ - 20** = _____	X _____ = _____		Independent Claims _____ - 3 = _____	X _____ = _____		Multiple Dependent _____	_____ = _____																																																																																	
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																								
101 740	201 370	Utility Filing fee																																																																																																																									
106 330	206 165	Design Filing fee																																																																																																																									
107 510	207 255	Plant Filing fee																																																																																																																									
108 740	208 370	Reissue Filing fee																																																																																																																									
114 160	214 85	Provisional Filing fee																																																																																																																									
SUBTOTAL (1)			\$ 0																																																																																																																								
Extra Claims	Fee from below	Fee Paid																																																																																																																									
Total Claims _____ - 20** = _____	X _____ = _____																																																																																																																										
Independent Claims _____ - 3 = _____	X _____ = _____																																																																																																																										
Multiple Dependent _____	_____ = _____																																																																																																																										
<table border="1"> <thead> <tr> <th>Large Entity Fee Code (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>103 18</td><td>203 9</td><td>Claims in excess of 20</td><td></td></tr> <tr><td>102 84</td><td>202 42</td><td>Independent claims in excess of 3</td><td></td></tr> <tr><td>104 280</td><td>204 140</td><td></td><td></td></tr> <tr><td>109 80</td><td>209 40</td><td></td><td></td></tr> <tr><td>110 18</td><td>210 9</td><td></td><td></td></tr> <tr><td colspan="3">SUBTOTAL (2)</td><td>\$ 0</td></tr> </tbody> </table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18	203 9	Claims in excess of 20		102 84	202 42	Independent claims in excess of 3		104 280	204 140			109 80	209 40			110 18	210 9			SUBTOTAL (2)			\$ 0	* Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$ 105.00																																																																																													
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																								
103 18	203 9	Claims in excess of 20																																																																																																																									
102 84	202 42	Independent claims in excess of 3																																																																																																																									
104 280	204 140																																																																																																																										
109 80	209 40																																																																																																																										
110 18	210 9																																																																																																																										
SUBTOTAL (2)			\$ 0																																																																																																																								

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY:

Complete (if applicable)

Name (Print/Type)	Bruce B. Brunda	Registration No. (Attorney/Agent)	28,497	Telephone	(949) 855-1246
Signature	<i>B. B. Brunda</i>			Date	March 12, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231

COPY OF PAPERS
ORIGINALLY FILED

ATTORNEY DOCKET NO: EQUUS-063A
TITLE: AUTOMOTIVE CODE READER



Certificate of Mailing under 37 CFR 1.8 or 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

**COPY OF PAPERS
ORIGINALLY FILED**



I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, Express Mail No. _____ addressed to:

on March 14, 2002
(Date)

Signature

Colleen Tyler

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Transmittal;
2. Fee Transmittal, in duplicate;
3. Check for \$65.00;
4. Notice to File Missing Parts of Nonprovisional Application;
5. Declaration for Utility or Design Patent Application, executed by Inventors;
6. Certificate under 37 C.F.R. § 3.73(b);
7. Power of Attorney;
8. Check for \$40.00;
9. Recordation Cover Sheet for Patents Only;
10. Two Assignments (one property);
11. Return postcard.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/036,601	12/31/2001	Ieon Chen	EQUUS-063A

CONFIRMATION NO. 4721

FORMALITIES LETTER



OC00000007405391

BRUCE B. BRUNDA
STETINA BRUNDA GARRED & BRUCKER
SUITE 250
75 ENTERPRISE
ALISO VIEJO, CA 92656

Date Mailed: 02/01/2002



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be in dark ink (not pencil), except where color drawings or photographs are permitted.

03/28/2002 BABRAHA1 00000007 10036601

01 FC:205

65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Huyant
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE